

## Data Contained in 2015 CSR Report

### CSR at BNY Mellon

Additional Corporate Governance Data
Board age limit— <b>75</b> years of age
<b>96 percent</b> shareholder approval of the say-on-pay proposal
BNY Mellon recorded an income tax provision of <b>\$1.0 billion</b> (23.9% effective tax rate) in 2015.
Staff expense was <b>\$5.8 billion</b> in 2015, down slightly compared with 2014.

The Investment Company for the World <sup>1</sup>
We Serve <b>77%</b> of Top 100 endowments
We Serve <b>80%</b> of Fortune 500 companies
Our Central Bank Clients Assets Make up over <b>90%</b> of Global Central Bank Reserves
We Serve <b>87%</b> of Top 100 Pension/Employee Benefit Funds
We Serve <b>51%</b> of Top 200 Life/Health Insurance Co.
We Serve <b>50%</b> of the Top 50 Univ.

Performance Data (Dollar amounts in billion USD, unless otherwise noted)			
	2015	2014	2013
Assets under custody and/or administration (AUC/A) <sup>2</sup>	<b>\$28,900</b>	<b>\$28,5000</b>	<b>\$27,600</b>
Assets under management (AUM) <sup>3</sup>	<b>\$1,625</b>	<b>\$1,686</b>	<b>\$1,557</b>
Total Revenue	<b>\$15,194</b>	<b>\$15,692</b>	<b>\$15,048</b>
Percentage of Non-U.S. Total Revenue <sup>4</sup>	<b>36%</b>	<b>38%</b>	<b>37%</b>
Market Capitalization	<b>\$44.7</b>	<b>\$45.4</b>	<b>\$39.9</b>
Company Credit Rating (S&P Credit Rating of Long-Term Senior Debt)	<b>A</b>	<b>A+</b>	<b>A+</b>
Location of Operations (Countries)	<b>35</b>	<b>35</b>	<b>35</b>
<b>CAPITAL LEVELS (ESTIMATED CET1 RATIO, FULLY PHASED-IN – NON-GAAP)<sup>5</sup></b>			

<sup>1</sup> All statistics represent the minimum number of BNY Mellon client relationships in each category · Fortune 500 // Fortune Magazine, Copyright 2015 Time Inc. · Endowments // Reprinted with permission of NACUBO, Copyright 2015 · Life & Health Insurance Companies // Reprinted with permission of A.M. Best Company Inc., Copyright 2015 · QS World University Rankings® 2015 // <http://www.topuniversities.com/university-rankings/world-university-rankings/2015> · Pension & EB Funds // Used with permission from Pensions & Investments, Copyright 2016 · Central Banks // The World Bank, Copyright 2015

<sup>2</sup> Includes the assets under custody and/or administration (“AUC/A”) of CIBC Mellon Global Securities Services Company (“CIBC Mellon”), a joint venture with the Canadian Imperial Bank of Commerce, of \$1 trillion at Dec. 31, 2015, \$1.1 trillion at Dec. 31, 2014, \$1.2 trillion at Dec. 31, 2013 and \$1.1 trillion at Dec. 31, 2012 and Dec. 31, 2011.

<sup>3</sup> Excludes securities lending cash management assets and assets managed in the Investment Services business. In 2015, prior periods’ AUM was restated to reflect the reclassification of Meriten Investment Management GmbH from the Investment Management business to the Other segment. Also excludes assets under management related to Newton’s private client business that was sold in 2013.

<sup>4</sup> Includes fee revenue, net interest revenue and income from consolidated investment management funds, net of net income attributable to noncontrolling interests.

Standardized Approach	10.2%	10.6%	10.6%
Advanced Approach	9.5%	9.8%	11.3%
Active Employees Certified as Having Read and Understood the Code of Conduct <sup>6</sup>	100%	100%	100%
Global Employees (Full-Time)	51,200	50,300	51,100
Total Compensation <sup>7</sup>	\$3.58	\$3.63	\$3.62
Training hours per employee, on average	21	21	28
Employee Retention	87%	88%	90%
Managing Director and above positions held by women	27%	26%	26%
Employee engagement globally (percentage of overall positive survey results)	77%	76%	78%
Assets Responsibly Screened <sup>8</sup>	\$63.0	\$80.0	\$73.0
Assets covered by UN Principles for Responsible Investment	37%	38%	40%
Cash donations (millions USD) <sup>9</sup>	\$39.9	\$38.1	\$34.4
Grants and Charitable Sponsorships (millions USD)	\$23.9	\$22.9	\$19.9
Employee contributions and company match (millions USD)	\$16.1	\$15.2	\$14.5
Total employee volunteering hours	140,000	127,000	105,000
CDP Score (disclosure and performance)	100 A	100 A	100 A
Global operations greenhouse gas emissions reductions (from 2008 baseline) <sup>10</sup>	41.9%	32.4%	30.6%
Global electricity usage offset by alternative energy	100%	63%	63%
Internal paper reduction (from 2008 baseline, U.S. only)	61%	52%	42%
Annual savings through owned or controlled buildings' energy-efficiency projects (millions USD)	\$10.0	\$13.3	\$12.6
Real estate certified for sustainability (by at least one 3rd party including LEED, ISO 14001, ENERGY STAR and, by percentage of sq. ft.) <sup>11</sup>	35.6%	34.3%	34.1%

<sup>5</sup> Risk-based capital ratios at Dec. 31, 2015, reflect the adoption of new accounting guidance related to Consolidations (ASU 2015-02). See Note 2, "Accounting Changes and New Accounting Guidance," on page 159 of the 2015 Annual Report for additional information. At Dec. 31, 2014, risk-based capital ratios include the net impact of the total consolidated assets of certain consolidated investment management funds in risk-weighted assets. These assets were not included in prior periods' risk-based ratios. The leverage capital ratio was not impacted. The estimated fully phased-in CET1 ratios (Non-GAAP) are based on our interpretation of the U.S. capital rules, which are being gradually phased in over a multi-year period. For additional information on these ratios, see "Capital" beginning on page 58 of the 2015 Annual Report. Please also see "Supplemental information – Explanation of GAAP and Non-GAAP financial measures" beginning on page 125 of the 2015 Annual Report for the reconciliation of Non-GAAP measures.

<sup>6</sup> May vary because of launch date, employee acquisitions or legal restrictions.

<sup>7</sup> A full estimate of total compensation and other staff expense is available within the corporate 2015 Annual Report under "Noninterest Expense."

<sup>8</sup> The 2014-2015 change in AUM is due primarily to client liquidity needs caused by market conditions, including commodity price volatility, and other exogenous factors affecting a significant segment of screened portfolios.

<sup>9</sup> Employee and company giving, includes our two foundations: BNY Mellon Foundation and BNY Mellon Foundation of Southwestern Pennsylvania.

<sup>10</sup> Includes scope 1 and 2 CO<sub>2</sub>e emissions percentage reduction compared to a 2008 baseline for global tracked properties, excluding data centers.

<b>Market Integrity</b>
<b>Measuring Core Equity Capital Against Risk-Weighted Assets</b> <sup>5</sup>
In the Federal Reserve's Dodd-Frank Act disclosures made as part of the 2015 Dodd-Frank Act annual stress tests, for example, BNY Mellon had one of the highest projected minimum CET1 ratios at <b>12.6 percent</b> over the nine-quarter planning horizon in the severely adverse scenario. BNY Mellon also had one of the highest projected minimum CET1 ratios of <b>12.7 percent</b> over the nine-quarters in the adverse scenario. BNY Mellon maintained a resilient minimum U.S. tier 1 leverage ratio of <b>5.2 percent</b> in both the severely adverse and adverse scenarios.
<b>Broker-Dealer Services - U.S. Tri-Party Repo</b>
BNY Mellon is a leader in both global and U.S. government securities clearance. We settle securities transactions in over <b>100 markets</b> , act as a clearing agent for <b>18 of the 22</b> primary dealers and handle most of the transactions cleared through the Federal Reserve Bank of New York (by volume). We are a leader in servicing tri-party repo collateral, servicing approximately <b>\$2.2 trillion</b> globally. We currently service approximately \$1.3 trillion, or <b>85 percent</b> , of the \$1.6 trillion tri-party repo market in the U.S.
<b>BNY Mellon Market Groups</b>
BNY Mellon Markets has more than <b>900</b> professionals across three continents, delivering services such as foreign exchange execution, securities finance, equity and fixed-income investment solutions, liquidity services, equity and debt underwriting, public finance, collateral management, collateral segregation and prime brokerage services. <sup>12</sup>
<b>Financing Wind Energy Projects</b>
In 2015, BNY Mellon committed approximately <b>\$344 million</b> in financing to various energy companies responsible for the development and operation of five U.S. wind energy projects. The projects have a combined total installed capacity of <b>970 megawatts</b> , representing approximately <b>11 percent</b> of the wind capacity installed in the U.S. last year. In total, the projects produce enough electricity to power approximately <b>340,000</b> average U.S. homes.
<b>Proxy Voting and Issuer Engagement</b>
The Proxy Voting and Governance Research team supports the committee's efforts to employ corporate governance research and financial analytics to discern value-enhancing vote decisions at nearly <b>12,000</b> meetings for U.S. and international issuers each year.
<b>Operational Reliability</b>
We strive to protect <b>\$28.9T</b> assets under custody and/or administration
We strive to protect <b>\$2T</b> in daily funds transferred
We strive to protect <b>\$192B</b> in private client assets
We strive to protect <b>38.8</b> petabytes of digital storage
We strive to protect <b>\$1.6T</b> assets under management
<b>Vendor Information Risk Management</b>
2015 Vendor Assessments: <ul style="list-style-type: none"> <li>• Remote (questionnaire-based) assessments completed: <b>599</b></li> <li>• Remote (questionnaire-based) assessments in progress: <b>106</b></li> <li>• Site visits completed: <b>68</b></li> </ul>
<b>Resiliency, Reliability, Quality and Operational Excellence</b>
Each day we manage –

<sup>11</sup> These third-party certifiers include LEED, ISO 14001 and ENERGY STAR.

<sup>12</sup> Prime brokerage services are provided by Pershing LLC, a FINRA registered broker-dealer. Pershing Prime Services is a service of Pershing LLC, member FINRA, NYSE, SIPC, a wholly owned subsidiary of The Bank of New York Mellon Corporation (BNY Mellon). For professional use only. Not for distribution to the public.

<ul style="list-style-type: none"> <li>• <b>8 million+</b> software components,</li> <li>• <b>2,000+</b> separate applications,</li> <li>• <b>20</b> different portal offerings serviced by duplicative underlying platforms,</li> <li>• <b>1,200+</b> vendors for software and technology services, and</li> <li>• <b>44</b> reporting platforms.</li> </ul>
<b>Improving Technology Stability and Reducing Client-Impacting Incidents</b>
Customer-impacting incidents (Priority One) reduced by <b>42%</b> from 2013–2015
<b>Corporate Governance</b>
As of December 31, 2015, the Board consists of <b>13 independent</b> members and one non-independent member, our Chairman and Chief Executive Officer. The definition of independence is available in our <a href="#">Corporate Governance Guidelines</a> . Independent directors meet in executive sessions, presided over by our Lead Director, at each regularly scheduled Board meeting. Average director attendance at Board and committee meetings in 2015 was <b>90 percent</b> .
<b>Public Policy and Lobbying</b>
In 2015, we spent approximately <b>\$1.1 million</b> in federal lobbying expenses, which includes those paid directly, as well as indirectly (e.g., trade associations). Our federal lobbying disclosure reports can be found at <a href="http://lobbyingdisclosure.house.gov/index.html">http://lobbyingdisclosure.house.gov/index.html</a> .
<b>Our Political Action Committee Contributions in 2015</b>
Total PAC contributions = <b>\$239,450</b>
U.S. House Republican contributions = <b>\$63,750</b>
U.S. House Democrat contributions = <b>\$43,000</b>
U.S. Senate Republican contributions = <b>\$50,000</b>
U.S. Senate Democrat contributions = <b>\$43,500</b>
Pennsylvania candidate contributions = <b>\$29,200</b>
Contributions made to trade association political action committees = <b>\$10,000</b>
BNY Mellon subsidiaries made a total of <b>\$15,000</b> in corporate contributions in 2015. It has been our practice to disclose any corporate contributions <sup>13</sup> that we make. When we do make such contributions, we will disclose that information semi-annually.
<b>Customer Engagement</b>
In a 2015 survey of our largest clients, <b>96 percent</b> reported overall satisfaction versus an industry best-in-class benchmark of 80 percent.
<b>Eighty-eight percent</b> of respondents to the 2015 survey reported a willingness to recommend — a significant increase from 2013’s rating of 77 percent.
<b>Ninety-five percent</b> of the respondents to the 2015 survey indicated that client executive meets/exceeds expectation.
<b>Eighty-nine percent</b> of the respondents to the 2015 survey feel that we are a strategic partner to clients.

<sup>13</sup> A corporate contribution is defined to include a state candidate committee, a 501(c)4, 527 or ballot initiatives.

Our People		
<b>Embedding Our Risk Culture</b>		
Our 2015 employee engagement pulse survey revealed that in the category of risk management, we increased 2 percent from the prior year, to <b>83 percent</b> , or 3 percent higher than the financial services industry benchmark. <b>Ninety-six percent</b> of employees reported that they were aware of the key risks relevant to their work.		
<b>Dedicated to Lifelong Learning</b>		
To meet the changing demands of our clients and the evolving career interests of our people requires a thoughtful and robust learning infrastructure. BNY Mellon delivered <b>1.1 million</b> learning hours in 2015.		
<b>Transforming Learning at BNY Mellon</b>		
<b>21</b> Learning hours per employee annually		
<b>54,102</b> active unique learners		
<b>3,776</b> classes delivered through BKU in 2015		
<b>57%</b> Took online courses		
<b>43%</b> Took in-person classes and virtual sessions (conducted by live instructor)		
<b>BKU by the Numbers</b>		
<b>21:</b> Average number of training hours per employee in 2015		
<b>10 percent:</b> Increase in number of learning participants from 2014		
<b>8 percent:</b> Increase in classes delivered through virtual sessions or in-person classes (conducted by a live instructor) from 2014		
<b>9 percent:</b> Increase in elective learning hours from 2014		
<b>Engagement and Wellbeing</b>		
In 2015, <b>74 percent</b> of our employees shared feedback through a “pulse-check” engagement survey, reporting an overall engagement score of 77 percent, 1 percent higher than our last full-length survey.		
<b>Empowering Our People Through the Digital Workplace</b>		
We measure engagement by analyzing various indicators, including the following in 2015:		
<ul style="list-style-type: none"> <li>• <b>3 percent</b> decrease in Service Desk support ticket.</li> <li>• <b>13 percent</b> decrease in On-Site Support tickets.</li> <li>• <b>73 percent</b> decrease in “high-priority” operational incidents (issues that would interrupt normal business processes and cause reputation or financial impact to the company).</li> <li>• <b>177</b> total features (e.g., new products, product upgrades, bug fixes) completed.</li> <li>• <b>42,000+</b> unique visitors used MySource Social.</li> </ul>		
BK Connect offers increased stability and performance compared to past remote access solutions, and allowed <b>7,500</b> users to simultaneously access the network during major weather events in 2015.		
<b>Retention</b>		
Our company-wide retention rate in 2015 was <b>86.7 percent</b> , representing a 1.1 percent decrease from 87.8 percent in 2014.		
<b>Global Voluntary Retention Rates</b>		
	<b>2015</b>	<b>2014</b>
Women	<b>87.1%</b>	<b>87.9%</b>
Men	<b>86.4%</b>	<b>87.7%</b>
Overall	<b>86.7%</b>	<b>87.8%</b>

<b>2014-2015 Voluntary Retention Rates, By Region/Gender</b>						
	<b>2015</b>			<b>2014</b>		
<b>Region</b>	<b>Female</b>	<b>Male</b>	<b>Total</b>	<b>Female</b>	<b>Male</b>	<b>Total</b>
<b>Asia Pacific</b>	80.3%	82.1%	81.5%	80.1%	84.0%	82.7%
<b>Europe, Middle East &amp; Africa</b>	86.4%	85.4%	85.8%	87.6%	87.7%	87.6%
<b>Latin America</b>	89.5%	92.1%	91.0%	87.2%	87.6%	87.4%
<b>U.S. &amp; Canada</b>	89.4%	89.1%	89.2%	90.2%	89.6%	89.9%
<b>Total</b>	87.1%	86.4%	86.7%	87.9%	87.7%	87.8%
<b>Employee Health and Wellbeing</b>						
<b>28,300</b> Well-Being Assessments Conducted						
<b>23,800</b> Biometric Assessments Conducted						
<b>13,500</b> Participants in wellness coaching						
<b>Healthy Workspaces</b>						
As of 2015, <b>41</b> of our global locations are certified to the <a href="#">LEED standard</a> , accounting for <b>25 percent</b> of our global portfolio. Thirty-six percent of our global portfolio is certified for sustainability through programs including LEED, ISO 14001 and Energy Star.						
<b>Employee Engagement Survey Results Related to Diversity and Inclusion</b>						
<b>85%</b> Agree management of this company supports diversity in the workplace, recognizing and respecting the value of people’s differences; 0% change from 2014						
<b>77%</b> I am able to sustain the level of energy and enthusiasm I need throughout the work day;, +3% change from 2014						
<b>87%</b> The people I work with are willing to help each other, even if that means doing something outside their usual activities; +3% change from 2014						
<b>68%</b> There are no substantial obstacles at work to doing my job well; +4% change from 2014						
<b>Diversity by the Numbers</b>						
<b>43%</b> of our total global workforce are women						
<b>27%</b> of employees at the Director level or above are women						
<b>37%</b> of employees at the Vice President level are women						
<b>42%</b> of new hires globally in 2015 were women						
<b>37%</b> of new hires in the U.S. were from diverse ethnic/racial backgrounds						

Our World
<b>Social Finance</b>
In 2014, we estimated through our research that there had already been <b>\$22 trillion</b> of activity in the social finance market.
<b>BNY Mellon's Social Finance Fund: Supporting Impact Investing</b>
In late 2014, we contributed to a donor advised fund with ImpactAssets, a nonprofit financial services organization with a mission to increase the flow of capital into impact investment opportunities. This BNY Mellon Social Finance Fund, with a value of <b>\$8.49 billion</b> as of December 31, 2015, <sup>14</sup> is invested in Calvert Foundation's Community Investment Note, a high-impact, fixed-income product that supports nonprofits, microfinance institutions, social enterprises and loan funds that benefit underserved communities domestically and globally.
<b>Social Finance Products and Services at BNY Mellon</b>
<b>\$63B</b> Responsibly screened assets under management
<b>\$663B</b> Assets under custody and/or administration screened for ESG criteria
Recognized trustee of catastrophe bonds, with <b>52%</b> market share
<b>United Nations Principles for Responsible Investment (UN PRI)</b>
As of year-end 2015, five of BNY Mellon's boutiques have chosen to become signatories to the UN PRI. <sup>15</sup> Accordingly, as of December 31, 2015, <b>\$599 billion</b> , or 37 percent, of our total assets under management are overseen by boutiques committed to adopting and implementing the UN PRI's Principles. This marks a significant increase from \$148.5 billion in 2011.
<b>Asset Servicing</b>
Asset Servicing has provided ESG-screening capabilities to our Asset Servicing client base for more than 10 years. During this time, we have enhanced our capabilities to cover a broad range of topics and insights sought by our clients. By the end of 2015, <b>120 clients</b> were using the ESG service across <b>570 distinct rules</b> or screens on ESG criteria. For the 12 months ending December 2015, the market value of portfolios that we screen for ESG factors increased by 10 percent, to <b>\$663 billion</b> .
<b>Depository Receipts</b>
Our Depository Receipts (DR) business plays a major role in helping securities issuers access the world's financial markets. BNY Mellon is the world's largest provider of sponsored depository receipt programs. As of December 31, 2015, we worked with leading companies from <b>64 countries</b> to serve as depository for <b>1,145</b> sponsored depository receipt programs — an estimated <b>58 percent</b> global market share.
<b>Corporate Trust</b>
<ul style="list-style-type: none"> <li>• Trustee for <a href="#">US\$100 Million Turkish Cat Bond</a></li> <li>• Trustee and Back-Up Servicer for <a href="#">Hannon Armstrong's \$118.6 Million Sustainable Yield Bond</a></li> </ul>
<b>Philanthropy and Community Involvement</b>
In 2015, our total employee and company cash contributions to charities in communities around the world increased to <b>\$39.9 million</b> . Our employees' volunteerism increased by 10 percent, to <b>140,000 hours</b> .
<b>Philanthropy and Community Involvement: Major Area of Focus in 2015</b>
Community Partnership = <b>40%</b>
Powering Potential (Does not include employee giving) = <b>25%</b>
Other Charitable Support (Includes BNY Mellon business managed giving; support for the arts, education and some

<sup>14</sup> Any and all contributions to the BNY Mellon Social Finance Fund are made through charitable donations to ImpactAssets. These contributions are irrevocable, and ImpactAssets maintains exclusive legal control over the assets contributed. Contributions are non-refundable and do not pay dividends or interest income.

<sup>15</sup> As of December 31, 2015, Meriten, a UN PRI signatory, is no longer a BNY Mellon boutique.

support for diversity and inclusion) = <b>18%</b>					
Social Finance (new philanthropic priority in 2014) = <b>15%</b>					
<b>Invested in Workforce Development and Basic Needs</b>					
As part of our Powering Potential philanthropic focus, in 2015 we continued to work with our community partners to provide basic needs such as food, clothing, housing and workforce development opportunities for underrepresented groups. Over the past six years, we estimate that this support has helped more than <b>100,000</b> people around the world.					
<b>Impact of Powering Potential in 2015</b>					
<ul style="list-style-type: none"> <li>• Provided more than <b>5,600</b> individuals with job training</li> <li>• Provided more than <b>8,100</b> individuals with education (i.e., tutoring, scholarships)</li> <li>• Provided more than <b>6,000</b> young people with a mentor</li> <li>• Provided <b>\$500,000</b> in company donations to the Red Cross to provide aid for the victims of the Nepal earthquake, the European refugee crisis and the floods in Chennai, India</li> <li>• Contributed <b>\$1.3</b> million, the equivalent of approximately <b>6.5 million</b> meals, to provide food for those in need around the world<sup>16</sup></li> <li>• Provided <b>2,400</b> vulnerable individuals with emergency, transitional and permanent housing</li> <li>• Provided clothing to more than <b>29,500</b> people</li> </ul>					
BNY Mellon Pathways partners reported that, of the approximately <b>639</b> U.S. and UK program participants in 2015, <b>523</b> were either enrolled in education or employed.					
<b>Invested in Community Partnership</b>					
In 2015, our employees volunteered <b>140,000 hours</b> , up 10 percent from 2014. Around <b>25 percent</b> of these hours were skills-based. Since the program's launch in 2008, employee and company matching donations have increased by <b>60 percent</b> .					
<b>Employee Community Involvement</b>					
	<b>2011</b>	<b>2012</b>	<b>2013</b>	<b>2014</b>	<b>2015</b>
Employee Donations and Company Match (\$M)	<b>\$14.1</b>	<b>\$14.5</b>	<b>\$14.5</b>	<b>\$15.2</b>	<b>\$16.1</b>
Volunteer Hours	<b>71,000</b>	<b>84,000</b>	<b>105,000</b>	<b>127,000</b>	<b>140,000</b>
<b>Supplier Diversity</b>					
Our commitment to diversity and inclusion extends beyond our employees to our suppliers. Our Supplier Diversity program supports companies owned, operated and managed by minorities, women, veterans, LGBTQA individuals and people with disabilities; we also engage certain certified small businesses. This approach allowed us to drive <b>11 percent</b> of our total U.S. spend directly to diverse and small business suppliers in 2015.					
As part of our local engagement to support supplier diversity in 2015, BNY Mellon <b>sponsored 30</b> and <b>hosted 60</b> supplier diversity events.					
<b>Recognition by CDP</b>					
	<b>Disclosure (Max Score is 100)</b>			<b>Performance</b>	
2015	<b>100</b>			<b>A</b>	
2014	<b>100</b>			<b>A</b>	
2013	<b>100</b>			<b>A</b>	
2012	<b>90</b>			<b>B</b>	

<sup>16</sup> Conservative estimate based on calculation methodologies used by reputable charitable organizations, including Feeding America

2011	81	B
<b>Greenhouse Gas (GHG) Emissions</b>		
In 2015, we reduced our scope 1 and 2 GHG emissions <b>42 percent</b> , against a 2008 baseline for our global tracked operations (excluding data centers). In doing so, we exceeded our 2020 corporate goal of achieving a 40 percent reduction. In 2016, we will revise our 2020 goal in order to support continued improvement in this area.		
Our carbon-emission reductions are largely due to decreased energy use, resulting in reduced energy costs. Since the program's inception in 2008, we have avoided approximately <b>\$72 million</b> in costs through energy efficiency and other energy-reduction efforts.		
<b>Sustainable Real Estate</b>		
Among our achievements through 2015:		
<ul style="list-style-type: none"> <li>• <b>41 LEED</b> certifications globally, accounting for <b>25 percent</b> of our global footprint.</li> <li>• ISO-14001 certification for environmental management at eight certified locations in the UK, accounting for <b>54 percent</b> of total square footage in Europe, the Middle East and Africa (EMEA).</li> <li>• In total, <b>36 percent</b> of our real estate has third-party sustainability certification, whether LEED, ISO 14001 or Energy Star.</li> <li>• <b>100 percent</b> compliance through our sustainable operations program in the U.S. in 2015.</li> </ul>		
Our Green Leasing program is another key part of our approach. In 2015, we restructured the program and trained new staff. As a result, <b>75 percent</b> of all new domestic and international lease transactions were subject to green leasing criteria.		
<b>Data Centers and Energy</b>		
Powering our operations and data centers requires large amounts of energy. In 2015, our data centers accounted for roughly <b>29 percent</b> of the total electricity consumed at our global properties and produced roughly <b>28 percent</b> of our total scope 1 and 2 carbon emissions.		
By using measures such as airflow management and improvements to building envelopes and associated ancillary systems, the program saved <b>44 million</b> kilowatt-hours (kWh) of electricity in 2015. Our total electricity cost avoidance since the program's inception in 2007 through 2015 is nearly <b>\$26 million</b> .		
<b>Data Center Efficiency and Reliability</b>		
<ul style="list-style-type: none"> <li>• <b>38.8</b> Petabytes of data storage</li> <li>• <b>28.9K</b> Servers</li> <li>• <b>570K</b> Sq. ft. enterprise data center building space</li> <li>• <b>102M</b> kWh used in 2015</li> </ul>		
<b>Water</b>		
<b>Water Use</b> <sup>17</sup>	<b>2014</b>	<b>2013</b>
In Gallons	<b>103,851,000</b>	<b>108,698,000</b>
In Cubic Meters	<b>393,000</b>	<b>411,000</b>
<b>Water Use in 2015</b> <sup>18</sup>		
In Gallons = <b>451,324,000</b>		
In Cubic Meters = <b>1,708,000</b>		
<b>Waste and Recycling</b>		
In 2015, we tracked waste and recycling rates in <b>9.8 million</b> square feet of our locations, accounting for <b>67 percent</b> of our global real estate portfolio and <b>60 percent</b> of our employees.		

<sup>17</sup> Our 2014 and 2013 data represents a partial subset of our global operations.

<sup>18</sup> Our water tracking program improved significantly in 2015; data now reflects all global controlled locations of operation.

In 2015, we diverted an average of **69 percent** of our waste from landfills (our goal is 60 percent diversion rate for office waste), recycled **97 tons** of desktop PC items and decommissioned **146 tons** of hardware and media.

**Paper**

The PaperWise program continues to track and report copy paper purchasing trends. By the end of 2015, we reduced office paper purchases in the U.S. by **61 percent**, compared to a 2008 baseline, surpassing our goal of a 30 percent reduction. This translates to a cumulative saving of approximately **\$2 million**. Copy paper use in the EMEA region has been reduced by **56 percent** from a 2011 baseline, surpassing the region's goal of reducing use by 20 percent by 2016 and our longer term goal of 30 percent reduction by 2019.

**Sustainability Ambassadors**

Our global Business & Environmental Sustainability Ambassadors network is powered by sustainability-minded employees who are committed to helping us make positive environmental impacts at our offices and within the communities where we work. Officially launched in 2009, the network includes more than **300** BNY Mellon employees in **20** locations.

**Social Finance Market Data and Methodology**

As referenced in Social Finance section of CSR Report

Strategy	Tools and Products Included	Disclaimers	Total	Source
Impact Investing	<p><b>Debt (40%) and Equity (33%) instruments</b>  <b>Includes Social Impact Bonds, Thematic Funds, PRIs/MRIs, etc.</b></p>	Based on survey respondent data (n=146) from J.P. Morgan 2015 Impact Investor Survey	\$60B	<a href="http://www.thegiin.org/binary-data/RESOURCE/download_file/000/000/662-2.pdf">http://www.thegiin.org/binary-data/RESOURCE/download_file/000/000/662-2.pdf</a>
Environmental Finance	<p><b>Climate Finance Market: \$319Bn</b>                      \$158bn in Balance Sheet Financing                      \$16bn in Project-Level Equity                      \$71bn in Market-Based debt products                      \$74bn in Low-Cost Debt                      \$11bn in Grants</p>	Majority of climate financing to date is around mitigation, with the exception of the bond market, which seeks active returns on investment in the climate space. The data provided includes calculations for both	\$890B	<a href="http://climatepolicyinitiative.org/wp-content/uploads/2014/11/The-Global-Landscape-of-Climate-Finance-2014.pdf">http://climatepolicyinitiative.org/wp-content/uploads/2014/11/The-Global-Landscape-of-Climate-Finance-2014.pdf</a>  <a href="http://www.climatebonds.net/files/post/files/cb-hsbc-15july2014-a3-final.pdf">http://www.climatebonds.net/files/post/files/cb-hsbc-15july2014-a3-final.pdf</a>  <a href="http://about.bnef.com/press-releases/rebound-clean-energy-investment-2014-beats-expectations/">http://about.bnef.com/press-releases/rebound-clean-energy-investment-2014-beats-expectations/</a>
	<p><b>Climate-Financing Bond Market: \$280.8bn</b>                      \$237bn is in the Core Investible Universe (mainstream markets and indices)                      \$36bn is in Green Bonds (labelled)                      \$7.8bn in Project Finance Bonds</p>			
	<p><b>Clean Energy Investment: \$310bn</b>  <b>Solar power (48%), Wind (32%), Smart Technologies i.e. Smart Grid/Power Storage etc. (12%), Biofuels (2%), Biomass (3%), Geothermal (1%), Small Hydro-Electric (2%)</b></p>			
Socially Responsible Investing (SRI)	<p><b>Categorical Breakdown of \$20.7Tr Global SRI Market:</b>                      Screening, 50%                      Negative 35%                      Positive 2%                      Norms-based 13%                       ESG Integration, 31% (includes ESG integration into financial analysis and investment selection by investment/fund managers etc.)                      Shareholder Activism and Corporate Engagement, 19% (defined as exercising shareholder power to express normative values)</p>	<p>This figure does not take into account Impact Investing or thematic investing, as these values are captured elsewhere in sector activity</p>	\$20,700B	<a href="http://www.gsi-alliance.org/wp-content/uploads/2015/02/GSIA_Review_download.pdf">http://www.gsi-alliance.org/wp-content/uploads/2015/02/GSIA_Review_download.pdf</a>
		<p>Of the total \$21 trillion assets identified, 87% are from institutional investors while only 13% are from retail assets</p>		
		<p>Between the 2012 and 2014 studies, the largest strategies that observed growth were sustainability-themed investing (136% growth) and ESG integration (117% growth)</p>		
Development Finance and Microfinance	<p><b>Overseas Development Assistance (ODA)</b>                      \$93Bn, which includes bilateral support for core budget needs, investment projects, debt relief, administrative costs and other</p>	<p>Does not include contributions to multilateral institutions as part of national development policy commitments (i.e. membership fees and funding support for UN, EU, IDA, and Regional Banks)</p>	\$285B	<a href="http://www.oecd.org/dac/stats/statisticsonresourceflowstodevelopingcountries.htm">http://www.oecd.org/dac/stats/statisticsonresourceflowstodevelopingcountries.htm</a>
	<p><b>Other Official Flows</b>                      \$7Bn, which includes non ODA bilateral and multilateral support</p>			
	<p><b>Private Flows</b>   <b>Africa (\$7Bn), East Asia and the Pacific (\$9.9Bn), Eastern Europe and Central Asia (\$12Bn), Latin America and The Caribbean (\$33.1Bn), Middle East and North Africa (\$1.1Bn), South Asia (\$8.5Bn)</b></p>	<p>In keeping with the definition of Development Finance (financing in pursuit of economic development in developing countries), data only reflects funding flows to developing countries (and not global flows)</p>	\$72B	<a href="http://mixmarket.org/mfi">http://mixmarket.org/mfi</a>
		Dataset was aggregated based on regional reporting, and represents global gross loan portfolios across 6 major regions		

Additional Environmental Data	2015	2014
Scope 1 GHG Emissions	7,502 metric tons CO <sub>2</sub> e <sup>[1]</sup>	8,963 metric tons CO <sub>2</sub> e <sup>[1]</sup>
Scope 2 GHG Emissions	185,983 metric tons CO <sub>2</sub> e	209,722 metric tons CO <sub>2</sub> e
Scope 3 Corporate Travel GHG Emissions	20,282 metric tons CO <sub>2</sub> e	18,874 metric tons CO <sub>2</sub> e
Total Waste	5264 metric tons	5755 metric tons
Hazardous Waste	1 metric ton	3 metric tons
Waste Recycled <sup>[2]</sup>	2991 metric tons	3567 metric tons
Waste Sent to Landfills	1620 metric tons	1662 metric tons
Number of Spills	0	0
Amount of Spills	0	0
Total Energy Consumption	1,535,496 GJ	1,571,055 GJ
Electricity Used	356,661,590 kWh	365,539,717 kWh
Renewable Energy Used <sup>[3]</sup>	356,661,590 kWh	228,634,791 kWh
Fuel Used - Coal/Lignite	0	0
Fuel Used - Natural Gas	653,767 ccf	803,376 ccf
Fuel Used - Crude Oil/Diesel	50,733 gal	45,980 gal
Total Water Use	1,710,640 cubic meters <sup>[4]</sup>	439,039 cubic meters <sup>[4]</sup>
Total Water Withdrawal	1,708,448 cubic meters	437,322 cubic meters
Reclaimed Water Use	2192 cubic meters	1817 cubic meters
Paper Consumption	1184 metric tons	1,524 metric tons <sup>[5]</sup>
Paper Recycled	2677 metric tons	2,420 metric tons
Number of Environmental Fines	0	0
Amount of Environmental Fines	0 <sup>[6]</sup>	0 <sup>[6]</sup>
Number of Sites	222	228
ISO 14001 Certified Sites	8	8
Percentage of Sites Certified	12	13
Environmental Accounting Cost	690K	\$350K
Investments in Operational Sustainability	\$2.6M	\$3.6M
Direct N <sub>2</sub> O Emissions	included in scope 1 above	included in scope 1 above
Direct HFC Emissions	included in scope 1 above	included in scope 1 above
Direct PFC Emissions	0	0
Direct SF <sub>6</sub> Emissions	0	0
Climate Change Opportunities Discussed	<a href="#">Please see our disclosure for CDP</a>	<a href="#">Please see our disclosure for CDP</a>
Risks of Climate Change Discussed	<a href="#">Please see our disclosure for CDP</a>	<a href="#">Please see our disclosure for CDP</a>
Climate Change Policy	<a href="#">Please see our disclosure for CDP</a>	<a href="#">Please see our disclosure for CDP</a>
New Products - Climate Change	<a href="#">Please see our disclosure for CDP</a>	<a href="#">Please see our disclosure for CDP</a>

<sup>[1]</sup> Carbon Dioxide equivalent emissions including all GHG's

<sup>[2]</sup> For controlled reporting locations

<sup>[3]</sup> Renewable Energy through the purchase of Renewable Energy Credits, Guarantee's of Origin, PowerPlus Products, On-site solar generation and Renewable Power Purchase Agreements

<sup>[4]</sup> Includes all water used at sites which are under company operational control; 2014 total represents a partial subset of our global operations

<sup>[5]</sup> Internal tracked copy paper purchases

<sup>[6]</sup> No operational fines reported in 2015

**U.S. Workforce Demographics - EEO-1**

Job Categories	Hispanic or Latino		Not Hispanic or Latino												Overall Totals
			Male						Female						
	Male	Female	White	Black/ African American	Native Hawaiian or Pacific Islander	Asian	American Indian or Alaskan Native	Two or More Races	White	Black/ African American	Native Hawaiian or Pacific Islander	Asian	American Indian or Alaskan Native	Two or More Races	
Executive / Senior Officials and Managers	0	0	9	0	0	1	0	0	2	0	0	0	0	0	12
First/Mid Officials and Managers	169	135	3,786	241	1	470	4	25	2,209	332	2	295	5	25	7,699
Professionals	249	267	4,618	352	6	941	6	51	3,273	496	4	699	4	38	11,004
Technicians	11	2	68	11	0	15	0	1	37	16	0	9	0	0	170
Sales Workers	44	35	410	17	1	29	0	4	226	31	0	33	0	7	837
Administrative Support	261	539	2,279	535	8	386	5	65	2,748	1,286	6	627	8	72	8,825
Craft Workers	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Operatives	14	14	28	26	2	21	0	2	17	37	0	14	0	0	175
Laborers and Helpers	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Service Workers	10	3	10	14	0	0	0	0	1	3	0	0	0	0	41
<b>TOTAL</b>	<b>758</b>	<b>995</b>	<b>11,208</b>	<b>1,196</b>	<b>18</b>	<b>1,863</b>	<b>15</b>	<b>148</b>	<b>8,513</b>	<b>2,201</b>	<b>12</b>	<b>1,677</b>	<b>17</b>	<b>142</b>	<b>28,763</b>
Previous Report Total	774	1,023	11,622	1,240	18	1,843	13	136	8,846	2,237	13	1,749	19	132	29,665