

Global Reporting Initiative (GRI) Index

The following is supplemental information to BNY Mellon's 2015 corporate responsibility reporting. It is arranged according to the Global Reporting Initiative (GRI) [G4 Sustainability Reporting Guidelines](#) indicator list. All data is reported as of December 31, 2015. This index provides

responses for individual GRI indicators in the following ways: (1) lists location within the CSR Report, unless otherwise indicated with an asterisk; (2) includes response within this index; (3) indicates location in another company report; or (4) provides webpage where the information can be found.

General Standard Disclosures

#	DESCRIPTION	ANSWER/LOCATION
Strategy and Analysis		
1	Statement from senior decision-maker	CEO's Message The President's Perspective
Organizational Profile		
3	Name of the organization	The Bank of New York Mellon Corporation (Annual Report p. 4)
4	Primary brands, products, and/or services	BNY Mellon Factsheet
5	Location of organization's headquarters	BNY Mellon Annual Report p. 7
6	Number of countries where the organization operates, and the names of countries with either major operations or that are specifically relevant to the sustainability issues covered in this report	Performance Data BNY Mellon Annual Report p. 30
7	Nature of ownership and legal form	BNY Mellon Factsheet
8	Markets served (including geographic breakdown, sectors served, and types of customers/beneficiaries)	About BNY Mellon BNY Mellon Annual Report pp. 18–33
9	Scale of the reporting organization	Performance Data
10	Total workforce by employment type, employment contract, and region, broken down by gender	Performance Data Supplement (EEO-1)
12	Describe the organization's supply chain	Supply Chain Responsibility
13	Significant changes during the reporting period regarding the organization's size, structure, ownership, or its supply chain	BNY Mellon Annual Report p. 6

General Standard Disclosures continues

#	DESCRIPTION	ANSWER/LOCATION
Commitments to External Initiatives		
14	Explanation of whether and how the precautionary approach or principle is addressed by the organization	Risk Management and Reliability
15	Externally developed economic, environmental, and social charters, principles, or other initiatives to which the organization subscribes or endorses	United Nations Principles for Responsible Investment Recognition by CDP Sustainable Real Estate
16	Memberships in associations (such as industry associations) and/or national/international advocacy organizations	Employee Resources
Identified Material Aspects and Boundaries		
17	Operational structure of the organization	BNY Mellon Annual Report pp. 23–30
18	Process for defining the report content and the Aspect Boundaries	A CSR Strategy Based on Materiality
19	Material Aspects identified in the process of defining report content	CSR Materiality
20	Aspect Boundary within the organization	A CSR Strategy Based on Materiality
21	Aspect Boundary outside the organization	A CSR Strategy Based on Materiality
22	Explanation of the effect of any re-statements of information provided in earlier reports, and the reason for such re-statement (e.g., mergers, acquisitions, change of base years/periods, nature of business, measurement methods)	BNY Mellon Annual Report
23	Significant changes from previous reporting periods in the scope, boundary or measurement methods applied in the report	None
Stakeholder Engagement		
24	List of stakeholder groups engaged by the organization	Stakeholder Engagement About BNY Mellon
25	Basis for identification and selection of stakeholders with whom to engage	Stakeholder Engagement
26	Approaches to stakeholder engagement, including frequency of engagement by type and by stakeholder group	Stakeholder Engagement
27	Key topics and concerns that have been raised through stakeholder engagement, and how the organization has responded to those key topics and concerns, including through its reporting	Stakeholder Engagement

General Standard Disclosures continues

#	DESCRIPTION	ANSWER/LOCATION
Report Profile		
28	Reporting period	January 1, 2015 to December 31, 2015
29	Date of most recent previous report	2015
30	Reporting cycle	Annual
31	Contact point for questions regarding the report or its contents	csr@bnymellon.com
32	GRI content index	We are reporting in alignment with the GRI G4 Guidelines.
33	Policy and current practice with regard to seeking external assurance for the report	We have our greenhouse gas emissions assured, along with accompanying carbon offsets and renewable energy certificates.
Governance		
34	Governance structure of the organization, including committees under the highest governance body responsible for specific tasks, such as setting strategy or organizational oversight	2016 Proxy pp. 16–26
Ethics and Integrity		
56	Description of the organization's values, principles, standards and norms of behavior such as codes of conduct and codes of ethics	Ethics

Specific Standard Disclosures

#	DESCRIPTION	ANSWER/LOCATION
Economic		
ECONOMIC PERFORMANCE		
EC1	Direct economic value generated and distributed	Performance Data
EC2	Financial implications and other risks and opportunities for the organization's activities due to climate change	Environmental Management
EC3	Coverage of the organization's defined benefit plan obligations	BNY Mellon Annual Report p. 186
INDIRECT ECONOMIC IMPACTS		
DMA	Disclosure on Management Approach – Indirect Economic Impacts	Social Finance
EC7	Development and impact of infrastructure investments and services provided primarily for public benefit through commercial, in-kind, or pro bono engagement.	Social Finance Products and Services at BNY Mellon
EC8	Understanding and describing significant indirect economic impacts, including the extent of impacts	Social Finance Products and Services at BNY Mellon
PROCUREMENT PRACTICES (SEE COMMENT)		
DMA	Disclosure on Management Approach – Procurement Practices	Supplier Relationship Management
Environmental		
MATERIALS		
DMA	Disclosure on Management Approach – Materials	Waste and Recycling
EN1	Materials used by weight or volume	Water Paper
EN2	Percentage of materials used that are recycled input materials	Waste and Recycling
ENERGY		
DMA	Disclosure on Management Approach – Energy	Carbon Neutrality and Investments in Renewables Environmental Efficiency
EN3	Direct and Indirect energy consumption by primary energy source	Direct Fuel Oil – 7,432 GJ Natural gas – 95,790 GJ Cars – 1,691 GJ Airplanes – 38,476 GJ Indirect Electricity – 1,283,982 GJ Steam – 108,126 GJ

Specific Standard Disclosures continues

#	DESCRIPTION	ANSWER/LOCATION
EN4	Energy consumption outside of the organization	Purchased goods and services – 185,000 GJ Capital Goods – 380,000 GJ Fuel and Energy Activities – 69,884 GJ Upstream Transportation – 17,500 GJ Employee Commuting – 1,652 GJ Downstream Leased Assets – 86,802 GJ
EN5	Energy intensity ratio for the organization	101 GJ per \$1M of revenue
EN6	Energy saved due to conservation and efficiency improvements	Performance Data Environmental Management
EN7	Reductions in energy requirements of products and services	Greenhouse Gas (GHG) Emissions Data Centers and Energy
WATER		
DMA	Disclosure on Management Approach – Water	Water
EN8	Total water withdrawal by source	Water
EN9	Water sources significantly affected by withdrawal of water	Water
EN10	Percentage and total volume of water recycled and reused	Water
EMISSIONS		
DMA	Disclosure on Management Approach – Emissions	Greenhouse Gas (GHG) Emissions Carbon Neutrality and Investments in Renewables
EN15	Direct greenhouse gas (GHG) emissions (Scope 1)	Greenhouse Gas (GHG) Emissions
EN16	Energy indirect greenhouse gas (GHG) emissions (Scope 2)	Greenhouse Gas (GHG) Emissions
EN17	Other relevant indirect greenhouse gas emissions by weight	Carbon Disclosure Project Response
EN18	Greenhouse gas (GHG) emissions intensity	Carbon Disclosure Project Response
EN19	Reductions of greenhouse gas (GHG) emissions	Greenhouse Gas (GHG) Emissions Carbon Neutrality and Investments in Renewables
EN20	Emissions of ozone-depleting substances by weight	Carbon Disclosure Project Response
EN21	NOx, SOx, and other significant air emissions by type and weight	Carbon Disclosure Project Response

Specific Standard Disclosures continues

#	DESCRIPTION	ANSWER/LOCATION
EFFLUENTS AND WASTE		
EN23	Total weight of waste by type and disposal method	Waste and Recycling
EN24	Total number and volume of significant spills	Performance Data Supplement
COMPLIANCE		
EN29	Monetary value of significant fines and total number of non-monetary sanctions for noncompliance with environmental laws and regulations	Performance Data Supplement
OVERALL		
EN31	Total environmental protection expenditures and investments by type	Performance Data Supplement
Social: Labor		
EMPLOYMENT		
DMA	Disclosure on Management Approach – Employment	Our People: Overview
LA1	Total number and rate of new employee hires and employee turnover by age group, gender, and region	Retention
OCCUPATIONAL HEALTH AND SAFETY		
DMA	Disclosure on Management Approach – Occupational Health & Safety	Employee Health and Wellbeing
TRAINING AND EDUCATION		
DMA	Disclosure on Management Approach – Training & Education	Leadership and Development
LA9	Average hours of training per year per employee by gender, and by employee category	Performance Data Transforming Learning at BNY Mellon
LA10	Programs for skills management and lifelong learning that support the continued employability of employees and assist them in managing career endings	Development of Experienced and Executive Talent
LA11	Percentage of employees receiving regular performance and career development reviews, by gender and by employee category	Development of Experienced and Executive Talent
DIVERSITY AND EQUAL OPPORTUNITY		
DMA	Disclosure on Management Approach – Diversity & Equal Opportunity	Diversity and Inclusion
LA12	Composition of governance bodies and breakdown of employees per employee category according to gender, age group, minority group membership, and other indicators of diversity	Diversity and Inclusion Performance Data Supplement (EEO-1)
SUPPLIER ASSESSMENT FOR LABOR PRACTICES		
DMA	Disclosure on Management Approach – Supplier Assessment for Labor Practices	Supplier Code of Conduct

Specific Standard Disclosures continues

#	DESCRIPTION	ANSWER/LOCATION
Social: Human Rights		
NON-DISCRIMINATION		
DMA	Disclosure on Management Approach – Non-discrimination	Non-Discrimination Policy Certification for Nonprofits
Social: Society		
LOCAL COMMUNITIES		
DMA	Disclosure on Management Approach – Local Communities	Community Commitment
SO1	Percentage of operations with implemented local community engagement, impact assessments, and development programs	Philanthropy and Community Involvement
FS14	Initiatives to improve access to financial services for disadvantaged people	Skill-Based Volunteering
PUBLIC POLICY		
DMA	Disclosure on Management Approach – Anti-Corruption	Anti-Corruption
SO3	Percentage of operations assessed for risks related to corruption and the significant risks identified	Risk-Related Products
SO4	Communication and training on anti-corruption policies and procedures	Ethics Anti-Corruption
COMMUNITIES		
DMA	Disclosure on Management Approach – Public Policy	Advocacy and Political Engagement
SO6	Total value of political contributions by country and recipient/beneficiary	Political Contributions
ANTI-COMPETITIVE BEHAVIOR		
SO7	Total number of legal actions for anticompetitive behavior, anti-trust, and monopoly practices and their outcomes	See note below.
COMPLIANCE		
SO8	Monetary value of significant fines and total number of non-monetary sanctions for noncompliance with laws and regulations	See note below.
Social: Product Responsibility		
PRODUCT AND SERVICE LABELING		
PR5	Results of surveys measuring customer satisfaction	Customer Engagement
CUSTOMER PRIVACY		
PR8	Total number of substantiated complaints regarding breaches of customer privacy and losses of customer data	See note below.

Specific Standard Disclosures continues

#	DESCRIPTION	ANSWER/LOCATION
COMPLIANCE		
DMA	Disclosure on Management Approach – Compliance	Ethics
PR9	Monetary value of significant fines for noncompliance with laws and regulations concerning the provision and use of products and services	See note below.
PRODUCT PORTFOLIO		
DMA	Disclosure on Management Approach – Product Portfolio	Social Finance
FS6	Percentage of the portfolio for business lines by specific region, size (e.g. micro/SME/large) and by sector	Social Finance
FS7	Monetary value of products and services designed to deliver a specific social benefit for each business line broken down by purpose	Social Finance
FS8	Monetary value of products and services designed to deliver a specific environmental benefit for each business line broken down by purpose	Social Finance
ACTIVE OWNERSHIP		
DMA	Disclosure on Management Approach – Active Ownership	Proxy Voting and Issuer Engagement
FS10	Percentage and number of companies held in the institution's portfolio with which the reporting organization has interacted on environmental or social issues	Social Finance
FS11	Percentage of assets subject to positive and negative environmental or social screening	Performance Data

Note: As a public company with securities traded on the New York Stock Exchange, The Bank of New York Mellon Corporation (the "Company") is required to file periodic reports with the Securities and Exchange Commission ("SEC"), including a quarterly report for the first three calendar quarters on Form 10-Q and an annual report on Form 10-K. These reports are publicly available from the [SEC's website](#), and are also available on the [Company's website](#). Under the SEC's disclosure rules, the Company must disclose certain legal proceedings in its quarterly and annual reports on Form 10-Q or 10-K. These rules require that the Company describe any material legal proceedings, other than ordinary routine litigation incidental to its business, to which the Company or any of its subsidiaries is a party or of which any of their properties is subject. The description must include the name of the court or agency in which the proceedings are pending, the date instituted, the principal parties to the proceeding, a description of the factual basis alleged to underlie the proceeding and the relief sought. The Company must disclose similar information as to any such proceeding known to be contemplated by government authorities. The SEC's rules include specific instructions on how these rules are applied, including certain exceptions for matters that do not need to be disclosed. The Company's disclosures as to these legal proceedings can be found under the caption "Legal Proceedings" included in its periodic reports on Form 10-Q or 10-K, as well as the "Legal Proceedings" section in the Notes to Consolidated Financial Statements accompanying the financial statements included in those reports.

The following regulatory disclosure language only applies to BNY Mellon and distribution of this report by BNY Mellon. BNY Mellon is the corporate brand of The Bank of New York Mellon Corporation and may be used as a generic term to reference the corporation as a whole and/or its various subsidiaries generally. This material and any products and services may be issued or provided under various brand names in various countries by duly authorized and regulated subsidiaries, affiliates, and joint ventures of BNY Mellon, which may include any of the following. The Bank of New York Mellon, in New York, New York a banking corporation organized pursuant to the laws of the State of New York, and operating in England through its branch, in London, England and registered in England and Wales with numbers FC005522 and BR000818. The Bank of New York Mellon is supervised and regulated by the New York State Department of Financial Services and the US Federal Reserve and authorized by the Prudential Regulation Authority. The Bank of New York Mellon, London Branch is subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. The Bank of New York Mellon SA/NV, a Belgian public limited liability company, with company number 0806.743.159, whose registered office is at 46 Rue Montoyerstraat, B-1000 Brussels, Belgium, authorized and regulated as a significant credit institution by the European Central Bank (ECB), under the prudential supervision of the National Bank of Belgium (NBB) and under the supervision of the Belgian Financial Services and Markets Authority (FSMA) for conduct of business rules, and a subsidiary of The Bank of New York Mellon. The Bank of New York Mellon SA/NV (London Branch) authorized by the ECB and subject to limited regulation by the Financial Conduct Authority and the Prudential Regulation Authority. Details about the extent of our regulation by the Financial Conduct Authority and Prudential Regulation Authority are available from us on request. The Bank of New York Mellon SA/NV operating in Ireland through its branch at 4th Floor Hanover Building, Windmill Lane, Dublin 2, Ireland trading as The Bank of New York Mellon SA/NV, Dublin Branch, is authorized by the ECB and is registered with the Companies Registration Office in Ireland No. 907126 and with VAT No. IE 9578054E. If this material is distributed in, or from, the Dubai International Financial Centre ("DIFC"), it is communicated by The Bank of New York Mellon, DIFC Branch, regulated by the DFSA and located at DIFC, The Exchange Building 5 North, Level 6, Room 601, P.O. Box 506723, Dubai, UAE, on behalf of The Bank of New York Mellon, which is a wholly-owned subsidiary of The Bank of New York Mellon Corporation. The Bank of New York Mellon, Singapore Branch is subject to regulation by the Monetary Authority of Singapore. The Bank of New York Mellon, Hong Kong Branch is subject to regulation by the Hong Kong Monetary Authority and the Securities & Futures Commission of Hong Kong. If this material is distributed in Japan, it is distributed by The Bank of New York Mellon Securities Company Japan Ltd, as intermediary for The Bank of New York Mellon.

BNY Mellon in Australia is subject to regulation by the Australian Prudential Regulation Authority and is exempt from holding an Australian Financial Services License and is regulated by the New York State Department of Financial Services under the New York Banking Law, which is different from Australian law. The Bank of New York Mellon Trust Company, N.A., is a national banking association regulated by the Office of the Comptroller of the Currency. Not all products and services are offered in all countries.

The material contained in this report, which may be considered advertising, is for general information and reference purposes only and is not intended to provide or be construed as legal, tax, accounting, investment, financial or other professional advice on any matter, and is not to be used as such. The contents may not be comprehensive or up-to-date, and BNY Mellon will not be responsible for updating any information contained within this report. If distributed in the UK or EMEA, this report is a financial promotion. This report, and the statements contained herein, is not an offer or solicitation to buy or sell any products (including financial products) or services or to participate in any particular strategy mentioned and should not be construed as such. This report is not intended for distribution to, or use by, any person or entity in any jurisdiction or country in which such distribution or use would be contrary to local law or regulation. Similarly, this report may not be distributed or used for the purpose of offers or solicitations in any jurisdiction or in any circumstances in which such offers or solicitations are unlawful or not authorized, or where there would be, by virtue of such distribution, new or additional registration requirements. Persons into whose possession this report comes are required to inform themselves about and to observe any restrictions that apply to the distribution of this document in their jurisdiction.

Some information contained in this report has been obtained from third-party sources and has not been independently verified by BNY Mellon. Information contained in this report may not be comprehensive or up-to-date, and is subject to change without notice. BNY Mellon will not be responsible for updating any information contained within this report and makes no representation as to the accuracy or completeness of such information. BNY Mellon assumes no liability (direct or consequential or any other form of liability) for any errors in or reliance upon the information contained in the report.

Reproduction, distribution, republication and retransmission of material contained in this report is prohibited without the prior consent of BNY Mellon.

To learn more about our CSR strategy, commitments and achievements, please see www.bnymellon.com/csr. Additional information is available at www.bnymellon.com, or follow us on Twitter @BNY Mellon.

All data included in this publication is as of December 31, 2015 unless otherwise specified. For a full explanation of statistics, please see <https://www.bnymellon.com/us/en/investor-relations/index.jsp#ir/annual-report--proxy>.

Trademarks, service marks and logos belong to their respective owners.

© 2016 The Bank of New York Mellon Corporation. All rights reserved.

#WeAreInvested



BNY MELLON | Invested