

Solicitation Policy

The Company will conduct solicitation for the sale of its products appropriately in accordance with the following policies.

Basic Position on Solicitation

1. The Company conducts transactions based on the assumption that customers have a sufficient understanding of the nature of and risk associated with personal financial products.
2. The Company will deal in products that are deemed suitable based on the customer's experience, investment objectives, and financial condition.
3. The Company will only deal in personal financial products that the customer has chosen through their own judgment.
4. The Company will not solicit customers at inappropriate hours or places.
5. The Company will ensure that its sales staff fully understands the Company's position on solicitation as outlined above.

Contact Information for Complaints

The Company will take the utmost precaution to ensure that solicitation affecting customers in a negative way are not conducted. Please contact us should you have any questions or concerns.

The Bank of New York Mellon Trust (Japan), Ltd., Compliance Department
Address: Marunouchi Trust Tower Main, 1-8-3 Marunouchi, Chiyoda-ku, Tokyo 100-8580, Japan
Telephone number: 03-6756-4500
Office Hours 9:00 – 17:00 (except Saturdays, Sundays, year-end and public holidays)

The Company is engaged in Financial Alternative Dispute Resolution services with the following organization:

<Trust business>

Trust Companies Association of Japan (Shintaku Sodansho)
<http://www.shintaku-kyokai.or.jp/>
Tel: 03-6206-3988 or 0120-817335 (toll free)
Office hours 9:00-17:15 (except Saturdays, Sundays, and public holidays)

<Banking business>

Japanese Bankers Association (general incorporated association) (JBA Sodanshitsu)
Tel: 0570-017109 or 03-5252-3772
Office hours 9:00-17:00 (except Saturdays, Sundays, and public holidays)

The Bank of New York Mellon Trust (Japan), Ltd.