SRDII Industry Information Transmission Flows

**SHAREHOLDER IDENTIFICATION**
1. Issuer/Nominated Third Party
2. First Intermediary (Issuer CSD)
   - Shareholder ID request
3. Intermediary
   - Shareholder ID request
4. Last Intermediary

**GENERAL MEETINGS/PROXY**
1. Issuer/Nominated Third Party
2. First Intermediary (Issuer CSD)
3. Intermediary
   - Notification
   - Shareholder Instructions
4. Last Intermediary
   - Shareholder/Nominated Third Party

**CORPORATE EVENTS**
1. Issuer/Nominated Third Party
2. First Intermediary (Issuer CSD)
3. Intermediary
   - Notification
4. Shareholder/Nominated Third Party

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**Tables**
1. Request to disclose information regarding Shareholder identity
2. Response to a request to disclose information regarding Shareholder identity
3. General Meeting Notice
4. Confirmation of Entitlement (position in shares)
5. Notice of Participation (including voting participation)
6. Voting Receipt
7. Confirmation of the recording and counting of votes
8. Notification of corporate events other than General Meetings

**Shareholders/Nominated Third Party**
The above are illustrative intermediary chains. There can be one or multiple intermediaries in a chain.

**Shareholders/Nominated Third Party include:**
- Fund Clients
- Asset/Fund Managers (Nominated 3rd Party)
- Natural/Legal Persons
- Institutional Investor

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BNY MELLON