



BNY MELLON

Public data protection register – The Bank of New York Mellon Frankfurt Branch

According to section 4 g part 2 and section 4 e of
BDSG – Bundesdatenschutzgesetz, the German Data Protection Act

1.	Name or company of the controller:	The Bank of New York Mellon Filiale Frankfurt
2a.	Management board:	Christopher Porter Christoph Marniok Reinhard Heilmaier
2b.	Controller's name:	Omid Saz
	Controller's delegate:	Oliver Liszka
3.	Controller's address:	The Bank of New York Mellon Filiale Frankfurt Messeturm Friedrich-Ebert-Anlage 49 60327 Frankfurt am Main Germany
	Contact:	FRANKFURT_Dataprotection@bnymellon.com
4.	Purposes of the data collection, processing or use:	BNY Mellon is a worldwide operating firm offering investment services and investment ancillary services and investment management. The firm offers tailored made products in the area international funds transfer, trade finance and letter of credit business based on the expertise of its employees in order to fit to the needs of its clients. Support to international operating customers is ensured by the responsible business units as well as by the responsible group areas.

		<p>The ascertainment, treatment and use of personal related data is carried out by reason of regulatory requirements or based on the exercise of the above mentioned purposes.</p>
<p>5. Description of the category or categories of data subject and of the data or categories of data relating to them:</p>		<p>In essence, the following personal related data are processed for ascertainment, treatment and use within the scope of the fulfillment of purposes described purposes:</p> <ul style="list-style-type: none"> • Documentation of the customer and account relationship activities of local and abroad commercial (in some cases individual) partners (name, address, date of birth, family status, financial circumstances) as well as of prospects (name, address information). • Customer and product calculations , creditor invoices, controlling (account and bank balance, total revenue), • Documentation of personal related information for the fulfillment of employment contracts, the employee awarding and the operating control system (application and employee information, among others payroll, performance management process, social insurance information).
<p>6. Recipients or categories of recipient to whom the data might be disclosed:</p>		<ul style="list-style-type: none"> • Internal instances for the fulfillment of the purposes described in section 4 (Frankfurt or parent company), • External public bodies (e.g. tax authorities), • External service providers (e.g. within the scope of payroll processing).
<p>7. Standard data retention periods:</p>		<p>The regulatory data retention rules and periods are adhered to. At expiration of the considered data retention periods, data are erased as a matter of routine.</p>
<p>8. Plans to transfer data to third countries:</p>		<p>Company related information can be made available to third countries based employees so far this information is needed for the processing of further activities of the Bank. The concerned persons must have given their agreement prior to any processing of personal data as described in section 5. Personal data may only be transferred according to the need-to-know principle.</p>