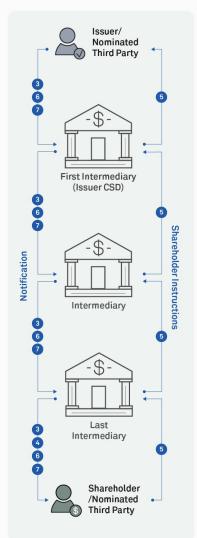
SRDII Industry Information Transmission Flows

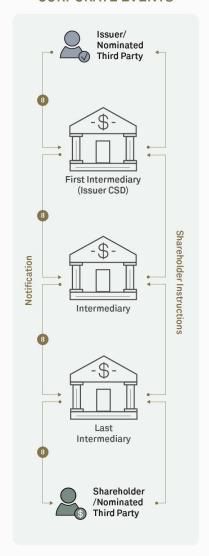
SHAREHOLDER IDENTIFICATION

Issuer/ Nominated Third Party Shareholder ID request First Intermediary (Issuer CSD) Shareholder ID request Intermediary Shareholder ID request 2 Last Intermediary

GENERAL MEETINGS/PROXY



CORPORATE EVENTS



Tables

- Request to disclose information regarding Shareholder identity
- Response to a request to disclose information regarding Shareholder Identity
- General Meeting Notice
- 4 Confirmation of Entitlement (position in shares)
- 6 Notice of Participation (including voting participation)
- Voting Receipt
- Confirmation of the recording and counting of votes
- Notification of corporate events other than General Meetings

Shareholders/Nominated Third Party

The above are illustrative Intermediary chains. There can be one or multiple intermediaries in a chain.

Shareholders/Nominated Third Party include:

- Fund Clients
- Asset/Fund Managers (Nominated 3rd Party)
- Natural/Legal Persons
- Institutional Investor



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