

Fifth Anti-Money Laundering Directive (Belgium)

IMPORTANT INFORMATION FROM THE BANK OF NEW YORK MELLON SA/NV

Pursuant to the Belgian rules implementing Directive (EU) 2018/843 of 30 May 2018 (5th Anti-Money Laundering Directive), The Bank of New York Mellon SA/NV (BNY Mellon SA/NV) is legally required, within certain limits, to disclose certain information to a central registry in Belgium (the "Central Point of Contact" or "CPC"), that is organized by the National Bank of Belgium. Such information pertains to:

- Clients that have opened a cash account with BNY Mellon SA/NV's Head Office in Belgium; or
- Clients that have entered into certain investment or credit contracts with BNY Mellon SA/NV's Head Office in Belgium; or
- Third parties having the authority to instruct on a Client's cash account opened with BNY Mellon SA/NV's Head Office in Belgium.

BNY Mellon SA/NV may be required to disclose the following type of data to the CPC:

- data identifying the Client or the third party (e.g. by Belgian company registration number or legal name, company form and country of residence)
- the opening and closing dates of cash accounts (including Belgian IBAN codes)
- the start and termination dates of the third party's authority over Client cash accounts
- the start and termination dates of the investment or credit contract

BNY Mellon SA/NV is not required to disclose any information on (i) the balances of, or transactions effected on, impacted cash accounts or (ii) the legal, commercial or other terms agreed with impacted Clients or third parties.

The CPC records all data that BNY Mellon SA/NV communicated to it and may, within certain limits, disclose such data upon request to any Belgian authority or other person who is legally entitled to use such data for the prevention of the use of the financial system for the purposes of money laundering and terrorist financing, tax-related enquiries, detection of criminal offences and serious crimes, and for any other purpose authorised in accordance with the Belgian law of 8 July 2018 on the organisation of a central point of contact for accounts and financial contracts.

The CPC keeps the list of information requests it received from the above authorities or persons for 2 calendar years.

Any impacted Client or third party may inspect any data the CPC has recorded under its name at the National Bank of Belgium (Boulevard de Berlaimont 14, 1000 Brussels, Belgium). It may further demand, through BNY Mellon SA/NV's assistance, the correction or deletion of incorrect data that the CPC has recorded under its name.

The CPC will retain the data for ten years starting from the end of:

- the calendar year during which BNY Mellon SA/NV communicated to the CPC that either:
 - the relevant Client no longer holds any Client cash account with it at its head office
 - BNY Mellon SA/NV no longer has any investment or credit contract with the relevant Client
 - the third party no longer has the authority to instruct on Clients' cash accounts opened at BNY Mellon SA/NV's Head Office in Belgium
- with regard to identification data concerning the Client, the last calendar year of an uninterrupted period of ten calendar years during which no data regarding the existence of any reportable (i) Client cash account or (ii) contractual relationship is recorded in the CPC in respect of that Client.

After the expiry of the retention period, the data will be irretrievably deleted.

Please contact your BNY Mellon Representative for further information.

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