

Personal Information Protection Policies

The Company hereby establishes and announces the following Personal Information Protection Policies and Declarations as its approach to the handling of Personal Information and Individual Numbers (the “Personal Information, etc.”).

1. Compliance with relevant laws and ordinances

The Company shall comply with the relevant laws and ordinances relating to the protection of Personal Information, etc., the guidelines of Personal Information Protection Commission and the competent minister, the guidance of authorized personal information protection organizations and these Personal Information Protection Policies.

2. Purposes of use

Unless with the consent of the customer or treated as an exceptional case by law, the Company shall handle Personal Information, etc. to the extent necessary for achieving the purposes of use set forth in Exhibit 1 attached hereto. The Company shall handle Individual Numbers only to the extent stipulated by the relevant laws and ordinances.

The purposes of use of Personal Information, etc. by the Company shall be announced by posting it on the Company’s website or the reception counter, or giving written notices or sending e-mails to customers.

3. Security management measures

The Company shall endeavor to keep Personal Information, etc. accurate and updated. In order to prevent any leakage of Personal Information, etc., the Company shall take necessary and appropriate security management measures and supervise its officers, employees and contractors in an appropriate manner.

4. Continuous improvements

In order to properly handle Personal Information, etc., the Company shall review these Personal Information Protection Policies from time to time and improve the Policies on a continuing basis.

5. Procedures concerning disclosure request

Upon the receipt of a customer’s request for disclosure, correction or suspension of use of personal data held by the Company, the Company shall check the identification of the customer and endeavor to respond to their request in a proper and prompt manner. The Company may request the customer to submit documents in a prescribed form. In case of a request for disclosure of existence or non-existence of Individual Numbers retained by the Company, the Company shall respond existence or non-existence of a customer’s Individual Number retained by the Company.

6. Shared use

As described in Exhibit 2 attached hereto, the Company shall share personal data with other companies belonging to the Bank of New York Mellon Group.

7. Questions and comments

The Company shall endeavor to respond to questions and comments concerning Personal Information, etc. in a prompt and sincere manner. If customers have any questions or comments, please use the following phone numbers:

【Point of Contact】

Compliance, The Bank of New York Mellon Securities Company Japan Ltd.
Postal mail: Marunouchi Trust Tower, 1-8-3 Marunouchi, Chiyoda-ku, Tokyo 100-0005

Tel: 03-6756-4300 Office hours 9am – 5pm, Monday to Friday (except bank holidays)

Point of contact for Authorized Personal Information Protection Institutions

The Company is a member of Japan Securities Dealers Association (“JSDA”), which is Authorized Personal Information Protection Institutions. These associations handle inquiries and complaints regarding the handling of personal information by their members.

Contact Information for Inquiries or Complaints

Personal Information Consulting Desk, Japan Securities Dealers Association Japan

Telephone number: 03-6665-6784

Office hours: 9:00-17:00 (excluding weekends, year-end and other holidays)

Website: <http://www.jsda.or.jp/en/index.html>

- End -

(Exhibit 1)

Purposes of Use of Personal Information, etc.

The Bank of New York Mellon Securities Company Japan Ltd.

The Company obtains and uses personal information for the purposes of use listed below:

Purposes of use

- To deal with opening accounts for various financial products, transactions, applications of the financial products and services with customers;
- To confirm the identity of customers pursuant to the Law concerning Identification of Customers by Financial Institutions or to confirm the eligibility of customers for the financial products and services;
- To make decisions on the appropriateness of the financial products and services, in light of the principles of suitability, etc;
- To disclose to third parties to the extent necessary for proper operation of the business, such as disclosing the personal information to private credit information institutions in relation to providing credit;
- To properly handle the personal information entrusted by other business entities when any part or all of the handling is entrusted;
- To exercise the rights or implement the obligations under agreements with customers or laws;
- To research and develop financial products and services by conducting market research, data analysis and questionnaires;
- To propose financial products and services, such as sending direct mail;
- To propose the products and services of allied companies;
- To deal with termination of transactions or management after the termination;
- To send notices regarding opening accounts, products, seminars or etc, or to conduct data analysis, based on the information given at the time of the various information requests or applications for opening accounts, products, seminar or etc.
- To properly and smoothly implement the transactions with customers.
- Notwithstanding the foregoing, Individual Numbers can only be used for the creation and submission of statutory documents required for the trust business and other financial transactions

- End -

(Exhibit 2)

Shared Use of Personal Data

The Bank of New York Mellon Securities Company Japan Ltd.

The Company shall share personal data with other companies within the scope of the purpose of use as follows:

1. Items of personal data to be shared

Customer name, organization (company) name, title, office address, telephone number, facsimile number and e-mail address

2. Scope of shared users

Companies belonging to the Bank of New York Mellon Group (under the umbrella of the Bank of New York Mellon Corporation, the ultimate holding company)

3. Purpose of use by shared users

- Provision of comprehensive services
- Risk management

4. Responsible party for Personal Information etc protection

The Bank of New York Mellon Securities Company Japan Ltd.

- End -