Expanding Net Asset Value (NAV) Solutions

An innovative, provider-agnostic, suite of solutions to deliver greater NAV oversight and contingency for the investment industry

The financial industry is seeing an increasing trend in firms outsourcing their fund accounting services so that they can focus on their core business strategies. As a result, clients are tasked by their fund boards, investors and regulators to demonstrate strong oversight of these outsourced arrangements.

Our new capabilities include oversight and back-up NAV calculation solutions to complement our existing fund accounting offering for funds serviced by BNY Mellon or other providers. It delivers an independently calculated NAV to provide protection and resiliency, and increase efficiency in the event of a provider outage. These solutions help to reduce dependency on internal shadow book, internal oversight, contingency solutions and other third-party software.

For more information, please contact your BNY Mellon Relationship Manager or visit our website, www.bnymellon.com/fundservices.
Three new NAV solutions¹ that enhance resiliency and reduce risk

**NAV Review**

- Provides additional NAV validation and oversights of your fund administrators
- Performs automated NAV validation rules and maintains a full audit trail
- Aggregates fund accounting data directly from one or more service providers, reducing the need for manual collation
- Provides insights and analytics that identify and alert to exceptions

**Oversight with Expected NAV**

- Calculates expected NAV based on previous day valuation, and current positions, market prices, transactions and other fund data in parallel
- Expected NAV to actual NAV comparison and daily movement analysis identifies valuation exceptions for escalation with drill down capabilities
- Aggregates data from one or more fund accounting service providers, eliminating the need for manual collation
- Performs automated NAV validation rules and maintains full audit trail

**Back-up NAV, leveraging the strength of BNY Mellon**

- Simple, transparent fail-over methodology
- Reliable over a multi-day outage period
- Operates off independent data sources
- Independent of service provider availability and primary accounting platform
- Alternative dissemination path

¹These solutions are subject to relevant regulatory approvals.

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