

## 美國紐約梅隆銀行台北分行內部控制制度聲明書

謹代表美國紐約梅隆銀行台北分行聲明本銀行於 97 年 1 月 1 日至 97 年 12 月 31 日確實遵循「銀行內部控制及稽核制度實施辦法」，建立內部控制制度，實施風險管理，並由超然獨立之稽核部門執行查核，定期陳報董（理）事會及監察人。經審慎評估，本年度各單位內部控制及法規遵循情形，除附表所列事項外，均能確實有效執行。

謹致

行政院金融監督管理委員會

聲明人：

總經理：劉宗仁 (簽章)

中 華 民 國 98 年 4 月 30 日

美國紐約梅隆銀行台北分行  
內部控制制度應加強事項及改善計畫

〈基準日：97年12月31日〉

應加強事項	改善措施	預定完成改善時間
<p>不適用。本年度總稽核人員所建議事項皆已執行完畢或正在進行改善中。</p>		

**THE BANK OF NEW YORK  
TAIPEI BRANCH**

**INTERNAL CONTROL SYSTEM STATEMENT**  
("statement")

By representing Bank of New York, Taipei Branch, the Bank hereby states that from January 1<sup>st</sup>, 2008 to December 31<sup>st</sup>, 2008, the Bank has duly complied with the "Enforcement Regulations for Bank Internal Audit Control Systems" in establishing its internal control system, implementing risk management, designating an independent and objective department to conduct audits, and regularly reporting to the board of directors (board of managers) and the supervisor. After prudent evaluation, the internal control and legal compliance systems of each department have been in effect during the year.

To:

Financial Supervisory Commission

Undersigned:

Taipei Branch General Manager:  (Signature)

Senior Auditor:  
Kurt Hunnink  (Signature)  
Managing Director

Taipei Branch Compliance Officer:  (Signature)

HEAD OF COMPLIANCE & EMILY  
ASIA PACIFIC