




Investing for Environmental, Social and Governance (ESG) Factors

Through our ESG-related offerings, we provide clients a variety of options for managing risk, diversifying their portfolios and shaping investment strategies around their ESG values.

TYPES OF ESG INVESTMENT STRATEGIES

POSITIVE SCREENING	NEGATIVE SCREENING	FULL ESG INTEGRATION
<p>PROACTIVELY INVESTING IN COMPANIES WITH PRACTICES CONSISTENT WITH CLIENT ESG VALUES</p> <p>EXAMPLE: Only invest in companies that are actively addressing labor and human rights concerns in their supply chain.</p> 	<p>AVOIDING OR DIVESTING FROM COMPANIES WITH PRACTICES THAT CONFLICT WITH CLIENT ESG VALUES</p> <p>EXAMPLE: Do not invest in utilities that rely heavily on fossil fuels.</p> 	<p>EXPLICITLY INCLUDING ESG RISKS AND OPPORTUNITIES INTO ALL ANALYSES</p> <p>EXAMPLE: Consider companies that have beneficial records in all areas of concern, such as human rights, climate change and business ethics.</p> 

COMMON CLIENT ESG CONCERNS

HUMAN RIGHTS / ALCOHOL / WEAPONS & MILITARY / ANIMAL WELFARE
TOBACCO / ADULT ENTERTAINMENT / ENVIRONMENT

APPLICATION OF ESG CRITERIA

MANAGED	SCREENED	SIGNATORY
<p>\$73B</p> <p>ASSETS MANAGED ACCORDING TO ESG CRITERIA</p> <p>We provide solutions for our Investment Management clients to incorporate ESG criteria into their investment guidelines.</p>	<p>\$446B</p> <p>ASSETS UNDER CUSTODY AND/OR ADMINISTRATION SCREENED FOR ESG CRITERIA</p> <p>In Asset Servicing, we provide post-trade analysis of client portfolios against ESG guidelines and assist clients in understanding the nature of violations.</p>	<p>\$754B</p> <p>ASSETS UNDER MANAGEMENT COVERED BY UNITED NATIONS PRINCIPLES FOR RESPONSIBLE INVESTMENT (UN PRI)</p> <p>Six of our Investment Management boutiques follow the UN PRI framework for incorporating ESG factors into the investment process.</p>