

**APPENDIX A TO SUBPART I OF PART 103 –  
CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS  
FOR FOREIGN BANKS**

[OMB Control Number 1505-0184]

*The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United States Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).*

This Certification should be completed by any **foreign bank** that maintains a **correspondent account** with any U.S. bank or U.S. broker-dealer in securities (a **covered financial institution** as defined in 31 C.F.R. 103.175(f)). An entity that is not a foreign bank is not required to complete this Certification.

A **foreign bank** is a bank organized under foreign law and located outside of the United States (see definition at 31 C.F.R. 103.11(o)). A **bank** includes offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 C.F.R. 103.11(c)).\*

A **Correspondent Account** for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.

*Special instruction for foreign branches of U.S. banks:* A branch or office of a U.S. bank outside the United States is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S. branches and offices of the same U.S. bank.

*Special instruction for covering multiple branches on a single Certification:* A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for **each** branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.

**A.** The undersigned financial institutions, within The Bank of New York Mellon Corporation, foreign branches and entities listed in the attachment hereto (collectively, the “**Foreign Banks**”) hereby, certify as follows:

**B. Correspondent Accounts Covered by this Certification**

This Certification applies to **all** accounts established for each of the Foreign Banks by Covered Financial Institutions.

---

\* A “foreign bank” does not include any foreign central bank or monetary authority that functions as a central bank, or any international financial institution or regional development bank formed by treaty or international agreement.

**C. Physical Presence/Regulated Affiliate Status:**

Each of the Foreign Banks either maintains a **physical presence** or is a **regulated affiliate**. That means that each of the Foreign Banks listed in the attachment:

**EITHER:**

- Has a place of business at the street address set forth in the attachment hereto, where it employs one or more individuals on a full-time basis and maintains operating records related to its banking activities;
- Is authorized to conduct banking activities in the country where its place of business is located; and
- Is subject to inspection by the banking authority identified in the attachment hereto that licensed the particular Bank of New York Mellon Corporation entity to conduct banking activities;

**OR:**

- Does not have a physical presence in any country, but is a **regulated affiliate** of an entity within The Bank of New York Mellon Corporation, that is a depository entity that maintains a physical presence at One Wall Street, New York, New York, 10286, or at One Mellon Center, Pittsburgh, Pennsylvania, 15258, where The Bank of New York Mellon is authorized to conduct banking activities and where it employs one or more persons on a full-time basis and maintains operating records related to its banking activities; and
- Is subject to supervision by the New York State Banking Department, the Board of Governors of the Federal Reserve System, and/or the Office of the Comptroller of the Currency, supervisory agencies that supervise the regulated affiliates of The Bank of New York Mellon or BNY Mellon Bank, N.A., that are depository institutions of The Bank of New York Mellon Corporation, as well as by the foreign banking authority identified in the attachment hereto that licenses the regulated affiliate.

**D. Indirect Use of Correspondent Accounts:**

No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. Each of the Foreign Banks hereby certifies that it does **not** use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.

**E. Ownership Information:**

Each of the Foreign Banks is owned directly or indirectly by The Bank of New York Mellon Corporation, Inc., a financial holding company whose shares are publicly traded, located at One Wall Street, New York, New York 10286.

**F. Process Agent:**

The Bank of New York Mellon Corporation is a resident of the United States located at One Wall Street, New York, New York, 10286, Attn: Legal Division – 11<sup>th</sup> Floor, Legal Process Unit, **and** is authorized to accept service of legal process on behalf of each Foreign Bank from the Secretary of the Treasury or the Attorney General of the United States pursuant to Section 5318(k) of title 31, United States Code.

**G. General**

Each of the Foreign Banks hereby agrees to notify in writing each Covered Financial Institution at which it maintains any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change.

Each of the Foreign Banks understands that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. Each of the Foreign Banks further understands that the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

\* \* \*

I, Mark Musi, certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of each of the Foreign Banks.



Mark Musi  
Chief Compliance and Ethics Officer  
The Bank of New York Mellon Corporation

Executed on this 8<sup>th</sup> day of September, 2010

## BRANCHES WITH A PHYSICAL PRESENCE <sup>1</sup>

| ENTITY   | ADDRESS  | CITY/COUNTRY                               | GOVERNING AUTHORITY  |
|--|--|--|--|
| Amsterdam Branch of The Bank of New York Mellon SA/NV                          | WTC Building,<br>Podium Office, B Tower<br>Strawinskylaan 337<br>P.O. Box 79007<br>1070 NB Amsterdam<br>The Netherlands  | Amsterdam,<br>Netherlands                  | De Nederlandsche Bank  |
| Beijing Branch of The Bank of New York Mellon                                  | Unit 727-730, 7 <sup>th</sup> Floor<br>Winland International Finance Centre<br>No. 7, Financial Street, Xicheng District<br>Beijing 100033<br>People's Republic of China | Beijing,<br>People's Republic of<br>China  | China Banking Regulatory<br>Commission   |
| Brussels Branch of The Bank of New York Mellon                                 | 46 Rue Montoyer<br>B-1000 Brussels, Belgium  | Brussels,<br>Belgium                       | Commission Bancaire<br>Financiere et des Assurances  |
| Dubai International Financial Centre Branch of The Bank of New York Mellon     | Level 6, Gate Precinct Building 5<br>Gate District, DIFC<br>P.O. Box 506723, Dubai<br>United Arab Emirates   | Dubai,<br>United Arab Emirates             | Dubai Financial Services<br>Authority  |
| Frankfurt Branch of The Bank of New York Mellon SA/NV                          | Bokenheimer Landstrasse 24<br>60323 Frankfurt am Main<br>Germany   | Frankfurt,<br>Germany                      | Bundesanstalt für<br>Finanzdienstleistungsaufsicht<br>(BAFIN)                                  |
| Hong Kong Branch of The Bank of New York Mellon                                | Level 24, Three Pacific Place,<br>1 Queen's Road East,<br>Hong Kong  | Hong Kong,<br>China                        | Hong Kong Monetary<br>Authority  |
| London Branch of The Bank of New York Mellon SA/NV                             | One Canada Square<br>London E14 5AL, England   | London,<br>England                         | Financial Services Authority<br>(UK)   |
| Luxembourg Branch of The Bank of New York Mellon (International) Limited SA/NV | Aerogolf Center<br>1A Hoehenhof, L-1736<br>Senningerberg, Luxembourg   | Senningerberg,<br>Luxembourg               | Commission de Surveillance du<br>Secteur Financier<br><br>Financial Services Authority<br>(UK) |
| Melbourne Branch of The Bank of New York Mellon                                | Level 5, 350 Collins Street<br>Melbourne, Vic 3000<br>Australia  | Melbourne,<br>Australia                    | Australian Prudential<br>Regulation Authority  |
| Milan Branch of The Bank of New York (Luxembourg) S.A.                         | Via Carducci 31<br>20123 Milan, Italy  | Milan,<br>Italy                            | Commission de Surveillance du<br>Secteur Financier<br><br>Banca D'Italia                       |
| Seoul Branch of The Bank of New York Mellon                                    | Young-Poong Bldg., 23rd Floor<br>33 Seolin-dong, Chongro-ku<br>C.P.O. Box 4906<br>Seoul 110-752, Korea   | Seoul,<br>South Korea                      | Financial Supervisory Service<br><br>Ministry of Finance and<br>Economy                        |
| Shanghai Branch of The Bank of New York Mellon                                 | Majesty Building, 26th Floor<br>138 Pudong Avenue<br>Shanghai 200120,<br>People's Republic of China  | Shanghai,<br>People's Republic of<br>China | China Banking Regulatory<br>Commission   |

<sup>1</sup> These entities are also subject to supervision by the Board of Governors of the Federal Reserve System, the New York State Banking Department, and/or the Office of the Comptroller of the Currency, banking supervisory agencies that supervise the depository institutions within The Bank of New York Mellon Corporation.

**BRANCHES WITH A PHYSICAL PRESENCE (continued) <sup>1</sup>**

| <b>ENTITY</b>   | <b>ADDRESS</b>  | <b>CITY/COUNTRY</b>          | <b>GOVERNING AUTHORITY</b>  |
|---|---|------------------------------|---|
| Singapore Branch of<br>The Bank of New York<br>Mellon | One Temasek Avenue<br>#02-01 Millenia Tower<br>Singapore 039192                                   | Singapore                    | Monetary Authority of Singapore   |
| Sydney Branch of<br>The Bank of New York<br>Mellon    | Level 2, 35 Clarence Street<br>Sydney, NSW 2000<br>Australia                                      | Sydney,<br>Australia         | Australian Prudential Regulation<br>Authority   |
| Taipei Branch of<br>The Bank of New York<br>Mellon    | 245 Tun Hwa South Road<br>Section 1, 4th Floor,<br>P.O. Box 58040<br>Taipei 106, Taiwan           | Taipei,<br>Republic of China | Financial Supervisory Commission  |
| Tokyo Branch of<br>The Bank of New York<br>Mellon     | Fukoku Seimei Building,<br>6th Floor<br>2-2-2 Uchisaiwai-cho<br>Chiyoda-ku, Tokyo 100-0011, Japan | Tokyo,<br>Japan              | Financial Services Agency (Japan)<br><br>Bank of Japan<br><br>Ministry of Finance (Japan) |

---

<sup>1</sup> These entities are also subject to supervision by the Board of Governors of the Federal Reserve System, the New York State Banking Department, and/or the Office of the Comptroller of the Currency, banking supervisory agencies that supervise the depository institutions within The Bank of New York Mellon Corporation.

**BRANCHES WITHOUT A PHYSICAL PRESENCE (REGULATED AFFILIATES) <sup>1</sup>**

| ENTITY   | ADDRESS  | CITY/COUNTRY              | GOVERNING AUTHORITY                          |
|--|--|---------------------------|--|
| Grand Cayman Branch of The Bank of New York Mellon | BNY Utica Operations Center<br>c/o Offshore Funding Support<br>Department<br>6023 Airport Road<br>Oriskany, New York 13424<br><br>Mailing address:<br>P.O. Box 11243<br>c/o Offshore Funding Support<br>Department<br>New York, New York 10249 | Oriskany, New York<br>USA | The Cayman Islands Monetary Authority (CIMA) |

---

<sup>1</sup> These entities are also subject to supervision by the Board of Governors of the Federal Reserve System, the New York State Banking Department, and/or the Office of the Comptroller of the Currency, banking supervisory agencies that supervise the depository institutions within The Bank of New York Mellon Corporation.

## SUBSIDIARIES WITH A PHYSICAL PRESENCE <sup>2</sup>

| ENTITY   | ADDRESS   | CITY/COUNTRY                 | GOVERNING AUTHORITY  |
|--|---|------------------------------|--|
| BNY Trust Company of Canada                                      | 4 King Street West, Suite 1101<br>Toronto, Ontario, Canada<br>M5H 1B6                   | Toronto,<br>Canada           | The Office of the Superintendent of<br>Financial Institutions (Canada)                         |
| BNY Mellon Trust Company (Ireland) Limited                       | Guild House<br>Guild Street<br>Dublin 1<br>Ireland                                      | Dublin,<br>Ireland           | Irish Financial Services<br>Regulatory Authority   |
| The Bank of New York Mellon Trust (Japan), Ltd.                  | Fukoku Seimei Building,<br>2-2-2 Uchisaiwai-cho<br>Chiyoda-ku, Tokyo 100-0011,<br>Japan | Tokyo,<br>Japan              | Financial Services Agency (Japan)<br><br>Bank of Japan   |
| The Bank of New York Trust & Depository Company Limited          | One Canada Square<br>London E14 5AL, England  | London,<br>England           | Financial Services Authority (UK)  |
| The Bank of New York Capital Markets Limited                     | One Canada Square<br>London E14 5AL, England  | London,<br>England           | Financial Services Authority (UK)  |
| BNY Trust Company Limited  | One Canada Square<br>London E14 5AL, England  | London,<br>England           | Financial Services Authority (UK)  |
| The Bank of New York Mellon (International) Limited              | One Canada Square<br>London E14 5AL, England  | London,<br>England           | Financial Services Authority (UK)  |
| The Bank of New York Mellon SA/NV                                | 46 Rue Montoyer<br>B-1000 Brussels, Belgium   | Brussels,<br>Belgium         | Commission Bancaire Financiere et<br>des Assurances  |
| The Bank of New York Mellon (Luxembourg) S.A.                    | Aerogolf Center<br>1A Hoehenhof, L-1736<br>Senningerberg, Luxembourg                    | Senningerberg,<br>Luxembourg | Commission de Surveillance du<br>Secteur Financier   |
| The Bank of New York Mellon, S.A., Institution de Banca Multiple | Reforma 115, Piso 23<br>Col. Lomas de Chapultepec<br>Mexico D.F., 11000                 | Mexico,<br>S.A.              | Mexican National Banking<br>Commission<br>Ministry of Finance (Mexico)<br>Mexican Central Bank |
| BNY Trust (Australia) Registry Limited                           | Level 2, 35 Clarence Street<br>Sydney, NSW 2000<br>Australia                            | Sydney,<br>Australia         | Australian Securities and Investment<br>Commission   |
| BTA Institutional Services Australia Limited                     | Level 2, 35 Clarence Street<br>Sydney, NSW 2000<br>Australia                            | Sydney,<br>Australia         | Australian Securities and Investment<br>Commission   |
| BNY Trust Company of Australia                                   | Level 2, 35 Clarence Street<br>Sydney, NSW 2000<br>Australia                            | Sydney,<br>Australia         | Australian Securities and Investment<br>Commission   |
| Permanent Custodians Limited                                     | Level 2, 35 Clarence Street<br>Sydney, NSW 2000<br>Australia                            | Sydney,<br>Australia         | Australian Securities and Investment<br>Commission   |

<sup>2</sup> These entities are also subject to supervision by the Board of Governors of the Federal Reserve System and the New York State Banking Department, banking supervisory agencies that supervise the depository institutions within The Bank of New York Mellon Corporation.

**SUBSIDIARIES WITHOUT A PHYSICAL PRESENCE (REGULATED AFFILIATES) <sup>2</sup>**

| <b>ENTITY</b>   | <b>ADDRESS</b>   | <b>CITY/COUNTRY</b>             | <b>GOVERNING AUTHORITY</b>                   |
|---|--|---------------------------------|--|
| The Bank of New York Trust Company (Cayman) Limited   | Butterfield House<br>68 Fort Street, George Town<br>Grand Cayman, Cayman Islands | Grand Cayman,<br>Cayman Islands | The Cayman Islands Monetary Authority (CIMA) |
| The Bank of New York Mellon (Channel Islands) Limited | Regency Court<br>Glatigny Esplanade<br>St. Peter Port<br>Guernsey<br>GY1 3DG     | Guernsey,<br>Channel Islands    | The Guernsey Financial Services Commission   |

<sup>2</sup> These entities are also subject to supervision by the Board of Governors of the Federal Reserve System and the New York State Banking Department, banking supervisory agencies that supervise the depository institutions within The Bank of New York Mellon Corporation.