



BNY MELLON

Policy on Terminating and Rejecting Relations with Antisocial Forces

The Bank of New York Mellon Trust (Japan), Ltd., has established and declared as follows its "Policy for Terminating and Rejecting Relations with Antisocial Forces." This constitutes the Company's basic philosophy and specific response policy with the goal of preventing damages due to antisocial forces.

1. Unreasonable demands from antisocial forces can cause people to feel insecure and afraid. If individual personnel or departments are allowed to respond to unreasonable demands without standardized rules of conduct, situations might arise in which unreasonable demands become fulfilled. Therefore in responding to unreasonable demands, decisions shall not be made by individual personnel and departments, but rather collectively as an organization, supervised by the CEO and senior management, based on clearly expressed written rules in the codes of conduct and company regulations.
2. The Company will ensure the safety of its employees when responding to demands from antisocial forces.
3. The company will establish close cooperative relationships with external organizations, including police, the Center for the Elimination of Boryokudan (Crime Syndicates) and legal counsels in preparation for unreasonable demands from antisocial forces.
4. The Company will not have any relationships, including business dealings, with antisocial forces. The Company will reject all unreasonable demands from antisocial forces.
5. The Company will take legal action by pursuing civil and criminal action to combat unreasonable demands from antisocial forces.
6. The Company will never participate in "back-room" deals with antisocial forces, even if unreasonable demands are related to concealing matters related to misconduct by either the Company's in business activities or by its employees.
7. The Company will never provide any funding to antisocial forces.