



Our CSR Statement

Corporate social responsibility is fundamental to BNY Mellon's culture and core values. It reflects the corporate social commitments we make to our clients, shareholders, employees and communities around the world.

These commitments enable us to perform with the highest standards of good governance and ethics; provide products and services that meet the rising expectations of our clients and business partners; attract and retain quality employees; provide meaningful support in our communities; and improve the social and environmental impact of our business practices and those of our suppliers.

Making an impact in 2010:

Providing insight to clients and the market

- In a *Fortune* magazine (September 2010) special section on sustainability, BNY Mellon reaffirmed that corporate social responsibility (CSR) should start with oversight from the Board of Directors and extend to every employee. "We spark dialogue, ask for clarity about programs, look at best practices, and challenge goals and strategies," said Samuel C. Scott III, member of BNY Mellon's CSR Committee of the Board of Directors and former CEO of Corn Products International. "We track performance and hold management accountable. When you do all this, you get good results."
- BNY Mellon Shareowner Services expanded educational programs to help clients and equity issuers understand the impact of SEC regulatory changes and the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010. Released in September 2010, the white paper, "Compliance, Complexity and Cost Challenges: The Impact of Regulatory Reform on Corporate Issuers," analyzed the consequences of recent regulatory changes on how proxies are voted, the right of shareholders to propose board nominees and the design of compensation plans.

Announcing a \$6 million Powering Potential global workforce development initiative

- Collaborating with leading agencies in the United States, United Kingdom and Asia, BNY Mellon launched a five-year, \$6 million global workforce development initiative. The initiative builds on the company's \$75 million philanthropic focus on Powering Potential, which supports basic needs and workforce development. It will enhance education, job training and career development for youth transitioning into adulthood — a population at risk for homelessness, poverty and chronic unemployment
- "Research shows that vulnerable youth experience a disproportionate number of social, economic and psychological challenges," said Jeep Bryant, Chief Corporate Affairs Officer for BNY Mellon. "By partnering with organizations that have a positive history of providing targeted support and services, we can collectively help this population build real and life-long skills."

Leading the way with Community Partnership and green power purchasing

- The 2010 Charity Times Award for Corporate Community Local Involvement was awarded to a BNY Mellon employee volunteer program that partners with London-based charity Community Links, recognizing the powerful combination of dedicated employees and focused corporate philanthropy.
- The U.S. Environmental Protection Agency (EPA) recognized BNY Mellon with a 2010 Green Power Leadership Award for advancing the development of the nation's voluntary green power market. "We believe supporting clean sources of electricity makes strong business sense," said Chip Logan, Head of BNY Mellon Facilities Services and Sustainability.

A dependable partner for turbulent times

Who's helping you?

True to our 226-year tradition, our financial strength and stability have helped us maintain our commitment to those who depend on us. Through corporate social responsibility, we create positive change with our employee, community and environmental initiatives — and we lead by being accountable.

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Learn more about our commitment to corporate social responsibility at bnymellon.com/csr



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